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- Matthew Diller, Dean, Cardozo School of Law, Yeshiva University

To learn more about this event or The Heyman Center please contact:

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CARDOZO LAW

BENJAMIN N. CARDOZO SCHOOL OF LAW • YESHIVA UNIVERSITY

The Samuel & Ronnie Heyman Center on Corporate Governance Presents:

The Wolf of Wall Street: Behind the Scenes at Stratton Oakmont

1/29/2014

5:30 - 8:00 PM

Jacob Burns Moot Court Room
Benjamin N. Cardozo School of Law
Yeshiva University
55 Fifth Avenue (at 12th St.)
New York, NY 10003

Program

REGISTRATION

4:30 – 5:15 PM

OPENING REMARKS

5:30 – 5:45 PM

STEPHEN P. HARBECK

President, Securities Investor Protection Corporation

PROTECTING INVESTORS FROM THE WOLVES OF WALL STREET: THE SIPA LIQUIDATION OF STRATTON OAKMONT

5:45 – 6:45 PM

Moderator:

JONATHAN HENES, Partner, Kirkland & Ellis LLP; Chair, Advisory Committee, The Samuel & Ronnie Heyman Center on Corporate Governance

Panelists:

MATTHEW CANTOR

Chief GC, Executive V. P., Lehman Brothers Holding

STEVEN B. CARUSO

Partner, Maddox, Hargett & Caruso, PC

THEODORE E. TSEKERIDES

Partner, Weil, Gotshal & Manges, LLP

JOSEPHINE WANG

General Counsel, Securities Investor Protection Corporation

The Wolf of Wall Street: Behind the Scenes at Stratton Oakmont

THE WOLVES OF WALL STREET: PROSECUTING STRATTON OAKMONT AND OTHER PUMP-AND-DUMP SCHEMES

6:45 – 7:45 PM

Moderator:

ROBERT KHUZAMI, Partner, Kirkland & Ellis LLP; Former Director of Enforcement, U.S. Securities and Exchange Commission

Panelists:

JOEL M. COHEN

Partner, Gibson Dunn & Crutcher; Former Prosecutor, Stratton Oakmont

GREGORY A. COLEMAN

Special Agent, Federal Bureau of Investigation

IRA LEE SORKIN

Partner, Lowenstein Sandler LLP; Former Defense Attorney, Stratton Oakmont

CLOSING REMARKS

7:45 – 8:00 PM

PREET BHARARA

United States Attorney, Southern District of New York

We respectfully request that audience members turn off their cell phone and blackberry ringers. No food or drink (except water) may be brought into the auditorium. This event has been approved for a maximum of 2.0 New York CLE credits in the category of professional practice for all lawyers (including transitional). To receive your certificate, please sign-in upon arrival and sign-out upon departure at the registration desk.